

High-indium-content InGaAs metal-oxide-semiconductor capacitor with amorphous La Al O 3 gate dielectric

N. Goel, P. Majhi, W. Tsai, M. Warusawithana, D. G. Schlom, M. B. Santos, J. S. Harris, and Y. Nishi

Citation: [Applied Physics Letters](#) **91**, 093509 (2007); doi: 10.1063/1.2776846

View online: <http://dx.doi.org/10.1063/1.2776846>

View Table of Contents: <http://scitation.aip.org/content/aip/journal/apl/91/9?ver=pdfcov>

Published by the [AIP Publishing](#)

Articles you may be interested in

[Interfacial and electrical properties of InGaAs metal-oxide-semiconductor capacitor with TiON/TaON multilayer composite gate dielectric](#)

Appl. Phys. Lett. **106**, 123504 (2015); 10.1063/1.4916539

[Thermal stability of electrical and structural properties of GaAs-based metal-oxide-semiconductor capacitors with an amorphous La Al O 3 gate oxide](#)

Appl. Phys. Lett. **93**, 012903 (2008); 10.1063/1.2952830

[Metal gate: HfO 2 metal-oxide-semiconductor structures on high-indium-content InGaAs substrate using physical vapor deposition](#)

Appl. Phys. Lett. **92**, 112904 (2008); 10.1063/1.2844879

[GaAs metal-oxide-semiconductor capacitors using atomic layer deposition of Hf O 2 gate dielectric: Fabrication and characterization](#)

Appl. Phys. Lett. **91**, 193503 (2007); 10.1063/1.2806190

[InGaAs metal-oxide-semiconductor capacitors with Hf O 2 gate dielectric grown by atomic-layer deposition](#)

Appl. Phys. Lett. **89**, 163517 (2006); 10.1063/1.2363959

The advertisement features a dark blue background with a subtle light gradient. On the left, there is a vintage mobile phone and a desktop computer from the 1980s. In the center, a modern Atomic Force Microscope (AFM) is shown. Text on the left asks 'You don't still use this cell phone or this computer'. Text in the center asks 'Why are you still using an AFM designed in the 80's?'. On the right, it says 'It is time to upgrade your AFM' and 'Minimum \$20,000 trade-in discount for purchases before August 31st'. Below that, it states 'Asylum Research is today's technology leader in AFM'. At the bottom right, the Oxford Instruments logo is displayed with the tagline 'The Business of Science®' and the email address 'dropmyoldAFM@oxinst.com'.

High-indium-content InGaAs metal-oxide-semiconductor capacitor with amorphous LaAlO₃ gate dielectric

N. Goel,^{a)} P. Majhi, and W. Tsai
Intel Corporation, Santa Clara, California 95052

M. Warusawithana and D. G. Schlom
Department of Materials Science and Engineering, Pennsylvania State University, University Park,
Pennsylvania 16802-5005

M. B. Santos
Homer L. Dodge Department of Physics and Astronomy, The University of Oklahoma, Norman,
Oklahoma 73019

J. S. Harris and Y. Nishi
Center for Integrated Systems, Stanford University, Stanford, California 94305

(Received 5 June 2007; accepted 7 August 2007; published online 29 August 2007)

The structure and electrical properties of LaAlO₃/*n*-In_{0.53}Ga_{0.47}As metal-oxide-semiconductor capacitors deposited by molecular-beam epitaxy were investigated. Transmission electron microscopy revealed a sharp interface between the dielectric and InGaAs. Postdeposition annealing at 440–500 °C significantly reduced the capacitive equivalent thickness and frequency dispersion. A hysteresis of 15 mV–0.1 V, a dielectric permittivity of 17±1, and a dielectric strength of ~4.3 MV/cm were measured. Additionally, a high loss in the parallel conductance and gate-bias independence in the inversion region was observed, implying the fast generation rate of minority carriers in In_{0.53}Ga_{0.47}As. © 2007 American Institute of Physics. [DOI: 10.1063/1.2776846]

Due to limits to scaling of silicon, the use of alternative III-V compound semiconductor channels such as In_{≥0.53}Ga_{≤0.47}As and InSb in complementary metal-oxide-semiconductor (MOS) devices is becoming attractive due to their higher electron mobilities and smaller band gaps.^{1–3} It is surmised that integration of a high quality gate dielectric may help reduce gate leakage and improve $I_{\text{on}}/I_{\text{off}}$ ratio in such devices. Recently In_{<0.3}Ga_{>0.7}As devices with various high dielectric constant (high- κ) insulators showing superior electrical properties have been demonstrated.^{4–6} In this letter we describe the physical and electrical characteristics of thin amorphous lanthanum aluminate (LaAlO₃) deposited on In_{0.53}Ga_{0.47}As.

Silicon-doped In_{0.53}Ga_{0.47}As layers were grown on (001) InP substrates in a GEN II molecular-beam epitaxy (MBE) system at the University of Oklahoma. To minimize the dielectric/III-V layer interface defect density, the InGaAs samples were capped with arsenic⁷ and shipped in a vacuum container to Penn State University. The cap was desorbed in a Veeco 930 MBE system in the absence of arsenic overpressure. Amorphous LaAlO₃ was then deposited as described elsewhere.^{8–14} Reflection high-energy electron diffraction showed the LaAlO₃ to be amorphous. Tungsten (W) was evaporated *ex situ* either on as-deposited or postdeposition annealed (PDA) dielectric film to form the gate electrode and subsequently a MOS capacitor (MOSCAP). Evaporated indium or Ni–Ge–Au alloy formed Ohmic contact.

The structural integrity, dielectric physical thickness (t_{oxide}) and electrical characteristics in MOSCAPs were determined by cross-sectional high-resolution transmission electron microscopy (HRTEM), spectroscopic ellipsometry,

capacitance-voltage (*C-V*), and current density–voltage (*J-V*) measurements. The LaAlO₃ film stoichiometry was established by medium energy ion scattering (MEIS) technique and Rutherford backscattering spectrometry.^{10,11}

The absence of an interfacial layer (IL) between amorphous LaAlO₃/Si has been previously noted.¹² A comprehensive thermodynamic analysis of the stability of binary oxides in contact with III-V semiconductors¹⁵ indicates no expected reactions between La₂O₃ or Al₂O₃ with GaAs or InAs and thus from a zeroth-order bond strength argument, LaAlO₃ is expected to be stable in contact with InGaAs. HRTEM image (Fig. 1) shows no distinct IL between InGaAs/LaAlO₃/W interfaces following a 500 °C PDA (or 440 °C, not shown)

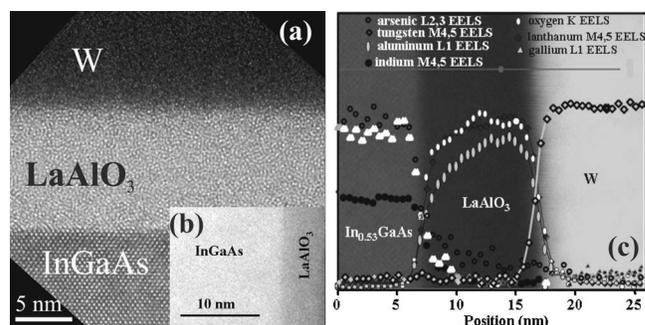


FIG. 1. (a) HRTEM image of a W/LaAlO₃/*n*-In_{0.53}Ga_{0.47}As MOS capacitor after a PDA in a nitrogen ambient at 500 °C (5 min). As-deposited (not shown) and annealed (at 440 or 500 °C) LaAlO₃ are amorphous. (b) High angle annular dark-field scanning TEM (HAADF-STEM) reveals an abrupt interface between the LaAlO₃ and InGaAs. (c) The cross-sectional composition information as obtained by electron energy loss spectroscopy (EELS) and energy dispersive x-ray spectroscopy is overlaid on the HAADF-STEM micrograph of the sample. Humps seen in the dielectric film composition are an artifact of the nonuniformity of the sample thickness in cross section.

^{a)} Author to whom correspondence should be addressed; electronic mail: niti.goel@intel.com

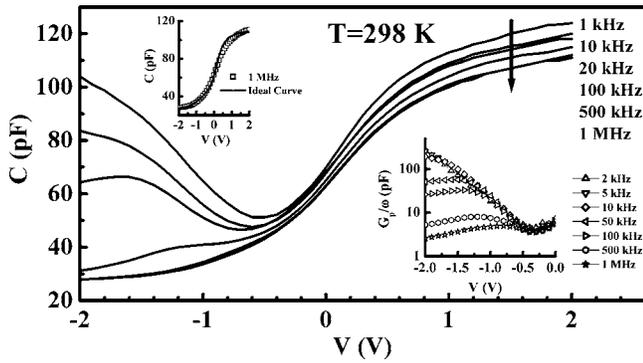


FIG. 2. As measured room temperature multifrequency capacitance as a function of applied gate voltage (V) for a $W/LaAlO_3/n-In_{0.53}Ga_{0.47}As$ MOS capacitor with an area of $7.85 \times 10^{-5} \text{ cm}^2$, following PDA at 500°C in an N_2 ambient. The upper inset shows a comparison between the ideal C - V behavior and that measured at 1 MHz. The lower inset shows G_p/ω (log scale)- V , where G_p is parallel conductance and ω is the measured angular frequency.

in N_2 ambient. MEIS verified the absence of an IL layer.¹¹

PDA at 440°C (device I) and 500°C (device II) for 5 min decreased t_{oxide} , indicative of dielectric film densification, and reduced frequency dispersion ($<3\%$ per decade). Figure 2 shows room temperature C - V characteristic of device II. Interestingly, the shape of the curves at frequencies $<20 \text{ kHz}$ showed a “low-frequency” (lf) behavior where the inversion layer charge starts to respond. Analogous behavior has also been reported in Ge based devices.^{16,17} The minority carrier (MC) response time can plausibly be shorter in $In_{0.53}Ga_{0.47}As$ due to its smaller band gap (E_G), shorter MC lifetime (τ_L), and higher intrinsic carrier density compared to Si.^{18–21} Furthermore, defects and impurities (if present) can act as sources for generation of MCs and bulk traps¹⁸ may shorten τ_L .

Bidirectional sweep indicated hysteresis was 15–40 and 60–100 mV for devices I and II, respectively. The mechanism for the increase in hysteresis with PDA is unknown but contribution from charge centers due to outdiffusion of arsenic into the dielectric is surmised. At 1 MHz and sweep rates ranging from 3 mV/s to 10 mV/s, the hysteresis remained the same.

The upper inset of Fig. 2 compares experimental (1 MHz, device II) and the calculated ideal C - V curve.¹⁸ Note, both the theoretical and experimental curves can have errors in the flatband to accumulation region due to the uncertainty in the measured profile near the interface and the possibility of some interface trap capacitance contribution to the measured 1 MHz curve.¹⁸ The slope of the 1 MHz curve in depletion revealed doping of $\sim 2.8 \times 10^{18} \text{ cm}^{-3}$. On comparison with the calculated ideal flatband voltage (V_{FB}) $\sim 0.37 \text{ V}$ (for $t_{\text{oxide}}=10.5 \text{ nm}$, $\kappa_{\text{InGaAs}}=13.3$, $\Phi_m=4.8 \text{ eV}$, and $\Phi_{\text{InGaAs}}=4.5 \text{ eV}$), a negative effective oxide charge of $\sim 6 \times 10^{11} \text{ cm}^{-2}$ was estimated. Due to the close agreement of the two curves, conventional Terman analysis²² did not provide credible interface trap density (D_{it}) values. Consequently, ac-conductance method was explored.

Inset of Fig. 2 shows parallel conductance (G_p) that does not peak up in weak inversion as a function of V and shows a plateau in strong inversion. This suggests dominance of generation and recombination through bulk trap levels or through a diffusion mechanism.¹⁸ The gate-bias independent loss also matches well with the lf behavior observed in the

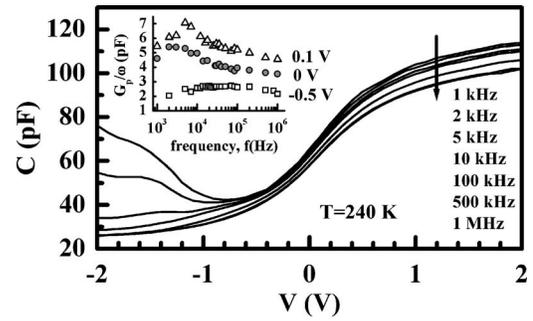


FIG. 3. Multifrequency capacitance as a function of applied gate voltage (V) measured at 240 K for a $W/LaAlO_3/n-In_{0.53}Ga_{0.47}As$ MOS capacitor with an area of $7.85 \times 10^{-5} \text{ cm}^2$, following PDA at 500°C in an N_2 ambient. The inset shows G_p/ω (log scale)- f for gate voltages at weak inversion (-0.5 V) and close to flatband ($0, 0.1 \text{ V}$).

C - V curves. A similar characteristic has been reported in other low E_G materials.²³ However, no clear G_p peak was seen in the depletion region close to the midgap (MG).

C - V measurements on another device at 240 K exhibited C - V curves (frequency dispersion $<1\%$ per decade) with onset of lf behavior at $f < 2 \text{ kHz}$ (Fig. 3), implying reduction of gate-bias-independent loss contribution.^{16,18} The contribution of both inversion layer and interface-trap capacitances to the conductance element complicated the analysis of weak inversion G_p peak (inset, Fig. 3). Although no clear peak was seen in the depletion range near MG, D_{it} extracted for the depletion region (surface potential, $\psi_s \sim -0.1 \text{ V}$) towards flatband ($\psi_{s,\text{FB}}=0 \text{ V}$) is $1.5 \times 10^{12} \text{ cm}^{-2} \text{ eV}^{-1}$, where $\tau_p=0.1 \text{ ms}$ after accounting for band bending fluctuations¹⁸ with $\sigma=2$. Device I provided no clear G_p peak in depletion, though C - V stretch out suggested higher D_{it} than device II. Due to competing mechanisms (conductance loss in inversion and interface-state loss in depletion), still lower temperatures may be needed along with a wider frequency range to extract interface trap parameters close to MG. Furthermore, the negative charge in the surrounding dielectric can deplete the n -type surface around the periphery of the capacitor dot plausibly supplying positive carriers for inversion.¹⁸ Device design with field isolation and different gate electrode areas¹⁸ may be able to isolate this effect.

For a given t_{oxide} the extracted CET, without the quantum mechanical correction, remained the same for the devices with electrode areas ranging from 7.85×10^{-5} to $4.9 \times 10^{-4} \text{ cm}^2$, providing a κ value of 17 ± 1 for devices I and II [Fig. 4(a)]. The J - V characteristic revealed low gate leakage current (J_L) and scalability [Figs. 4(b) and 4(c)]. The J_L at $|V-V_{\text{FB}}|=1 \text{ V}$ is $4 \times 10^{-8} \text{ A/cm}^2$ for 10 nm $LaAlO_3$ device I and $2 \times 10^{-8} \text{ A/cm}^2$ for 10.5 nm $LaAlO_3$ device II. Both κ and J_L in our MOSCAPs are comparable to those reported for $LaAlO_3/Si$.¹³

At high applied electric field across a 10.5-nm-thick $LaAlO_3$, transport is dominated by Fowler Nordheim (FN) tunneling²⁴ as indicated by the linear region for both forward and reverse biases in Fig. 4(d), suggesting conduction band offset (ΔE_C) $\sim 2.2 \pm 0.2 \text{ eV}$ between $LaAlO_3$ and $InGaAs$. The valence band offset (ΔE_V) $\sim 3.1 \pm 0.1 \text{ eV}$ was extracted by the synchrotron radiation photoelectron spectroscopy.¹¹ Reported ΔE_V and ΔE_C for $LaAlO_3/Si$ are $\sim 3.2 \pm 0.1$ and $1.8 \pm 0.2 \text{ eV}$, respectively.¹⁰ The oxide breakdown field is $\sim 4.3 \text{ MV/cm}$ [Fig. 4(b)].

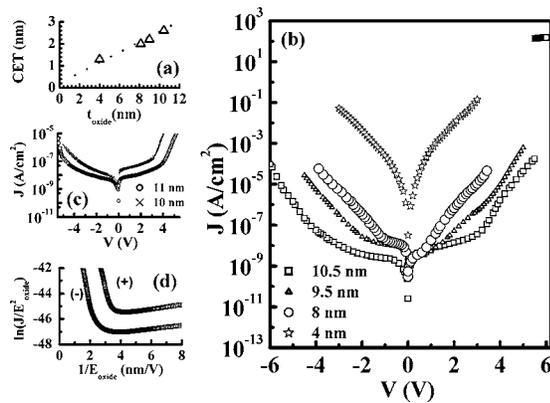


FIG. 4. (a) Capacitive equivalent thickness as a function of physical thickness (t_{oxide}) of W/LaAlO₃/ n -In_{0.53}Ga_{0.47}As MOSCAPs following 500 °C PDA in N₂ ambient as measured at 1 MHz. (b) Room temperature current density (J) as a function of applied gate voltage (V) and t_{oxide} . (c) J - V plot for MOSCAPs with LaAlO₃ annealed at 440 °C in N₂ ambient. (d) FN tunneling plot, $\ln(J/E_{\text{oxide}}^2)$ vs $1/E_{\text{oxide}}$, for forward and reverse applied biases.

In summary, we observed encouraging physical and electrical characteristics such as reasonably low frequency dispersion, low leakage current density, high dielectric constant, band offsets >1 eV, and absence of an interfacial layer in amorphous LaAlO₃/In_{0.53}Ga_{0.47}As MOSCAPs comparing well with LaAlO₃/Si devices. Additionally, gate-bias independent conductance loss was noted in inversion, implying a fast generation rate of minority carriers in n -In_{0.53}Ga_{0.47}As.

The authors acknowledge Intel for financial support and Stanford Nanofabrication Facility of NNIN (supported by the National Science Foundation under Grant No. ECS-9731293).

- ¹D.-H. Kim, J. A. del Alamo, J. H. Lee, and K. S. Seo, Tech. Dig. - Int. Electron Devices Meet. **2005**, 767.
²S. Datta, T. Ashley, R. Chau, K. Hilton, R. Jefferies, T. Martin, and T. J. Phillips, Tech. Dig. - Int. Electron Devices Meet. **2005**, 783.
³R. Chau, S. Datta, and A. Majumdar, Technical Digest of IEEE Components Semiconductor Integrated Circuit Symposium (2005 IEEE CSICS), 2005, pp. 17–20.

- ⁴N. Goel, P. Majhi, C. O. Chui, D. Choi, and J. S. Harris, Appl. Phys. Lett. **89**, 163517 (2006).
⁵Y. Xuan, H. C. Lin, P. D. Ye, and G. D. Wilk, Appl. Phys. Lett. **88**, 263518 (2006).
⁶M. L. Huang, Y. C. Chang, C. H. Chang, Y. J. Lee, P. Chang, J. Kwo, T. B. Wu, and M. Hong, Appl. Phys. Lett. **87**, 252104 (2005); J.-F. Zheng, W. Tsai, T.-D. Lin, C.-P. Chen, M. Hong, R. Kwo, S. Cui, and T.-P. Ma, Mater. Res. Soc. Symp. Proc. (to be published).
⁷S. P. Kowalczyk, D. L. Miller, J. R. Waldrop, P. G. Newman, and R. W. Grant, J. Vac. Sci. Technol. **19**, 255 (1981).
⁸P. Sivasubramani, M. J. Kim, B. E. Gnade, R. M. Wallace, L. F. Edge, D. G. Schlom, H. S. Craft, and J.-P. Maria, Appl. Phys. Lett. **86**, 201901 (2005).
⁹E. Cicerrella, J. L. Freeouf, L. F. Edge, D. G. Schlom, T. Heeg, J. Schubert, and S. A. Chambers, J. Vac. Sci. Technol. A **23**, 1676 (2005).
¹⁰L. F. Edge, D. G. Schlom, S. A. Chambers, E. Cicerrella, J. L. Freeouf, B. Holländer, and J. Schubert, Appl. Phys. Lett. **84**, 726 (2004).
¹¹N. Goel, W. Tsai, C. M. Garner, Y. Sun, P. Pianetta, M. Warusawithana, D. G. Schlom, H. Wen, C. Gaspe, J. C. Keay, M. B. Santos, L. Goncharova, E. Garfunkel, and T. Gustafsson (unpublished).
¹²L. F. Edge, D. G. Schlom, R. T. Brewer, Y. J. Chabal, J. R. Williams, S. A. Chambers, C. Hinkle, G. Lucovsky, Y. Yang, S. Stemmer, M. Copel, B. Holländer, and J. Schubert, Appl. Phys. Lett. **84**, 4629 (2004).
¹³L. F. Edge, D. G. Schlom, P. Sivasubramani, R. M. Wallace, B. Holländer, and J. Schubert, Appl. Phys. Lett. **88**, 112907 (2006).
¹⁴V. V. Afanas'ev, A. Stesmans, L. F. Edge, D. G. Schlom, T. Heeg, and J. Schubert, Appl. Phys. Lett. **88**, 032104 (2006).
¹⁵J. M. Panfile, A. R. Fisher, S. Hanscom, and D. G. Schlom (unpublished).
¹⁶A. Dimoulas, *Defects in high- κ gate dielectric stack*, NATO Science Series II: Mathematics, Physics and Chemistry, (Springer, The Netherlands, 2006), Vol. 220, pp. 237–48.
¹⁷A. Dimoulas, *Materials for Information Technology* (Springer, London, 2005), Chap. 1, pp. 3–15.
¹⁸E. H. Nichollian and J. R. Brews, *MOS (Metal-Oxide-Semiconductor) Physics and Technology* (Wiley, New Jersey, 2003), pp. 139, 71–175, 226–228, 331–332, 353–355, and 476–477.
¹⁹S. Paul, J. B. Roy, and P. K. Basu, J. Appl. Phys. **69**, 827 (1991); K. Misiakos and D. Tsamakis, *ibid.* **74**, 3293 (1993).
²⁰C. H. Henry, R. A. Logan, F. R. Merrit, and C. G. Bethea, Electron. Lett. **20**, 358 (1984).
²¹R. K. Ahrenkiel, R. Ellingson, S. Johnston, and M. Wanlass, Appl. Phys. Lett. **72**, 3470 (1998).
²²L. M. Terman, Solid-State Electron. **5**, 285 (1962).
²³C. O. Chui, F. Ito, K. Saraswat, IEEE Trans. Electron Devices **53**, 1501 (2006); M. Zvara, R. Grill, P. Hlidek, P. Hoschl, M. Lang, and K. Lischka, Semicond. Sci. Technol. **10**, 1145 (1995); J. C. Kim, IEEE Transactions on Parts, Hybrids, and Packaging **10**, 200 (1974).
²⁴S. M. Sze, *Physics of Semiconductor Devices*, 2nd ed. (Wiley, New York, 1981), p. 403.